Cylinders in Rational Surfaces

Jihun Park

with I. Cheltsov and J. Won

July 3 2015

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DEFINITION

Let S be a normal projective surface.

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Let S be a normal projective surface. A cylinder in S is a Zariski open subset in S

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Image: A matched black

DEFINITION

Let S be a normal projective surface. A cylinder in S is a Zariski open subset in S that is isomorphic to $Z \times \mathbb{A}^1$ for some affine variety Z.

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S contains a cylinder $U \cong \mathbb{C} \times Z$

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S contains a cylinder $U \cong \mathbb{C} \times Z$

$$g(Z) > 0$$

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S contains a cylinder $U \cong \mathbb{C} \times Z$

S is a ruled but not a rational surface.

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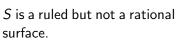
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Z is a smooth rational affine curve, i.e., $\mathbb{P}^1 - \{\text{finitely many points}\}\$ S is a rational surface.

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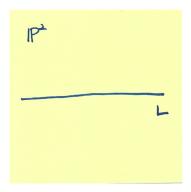
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Z is a smooth rational affine curve, i.e., $\mathbb{P}^1 - \{\text{finitely many points}\}\$ S is a rational surface.

We consider only the case when S is rational.

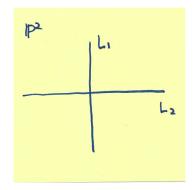
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$$\mathbb{P}^2 \setminus L \cong \mathbb{A}^1 \times \mathbb{A}^1$$

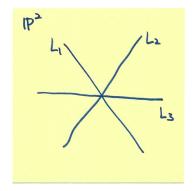
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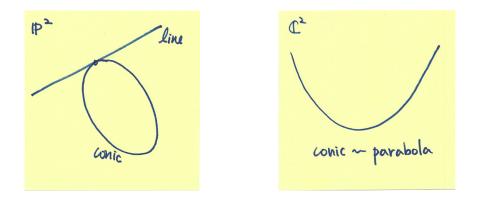


 $\mathbb{P}^2 \setminus L_1 \cong \mathbb{A}^2 \qquad \mathbb{C}^2 \setminus L_2 \cong \mathbb{A}^1 \times \mathbb{A}^1_*$

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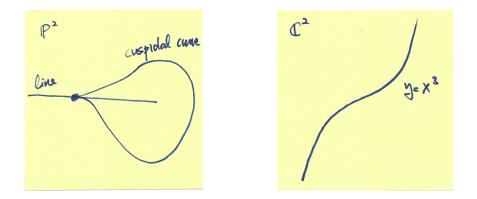
$$\mathbb{P}^2 \setminus L_1 \cong \mathbb{A}^2 \qquad \mathbb{C}^2 \setminus \{L_2 \cup L_3\} \cong \mathbb{A}^1 \times \mathbb{A}^1_{**}$$



 $\mathbb{P}^2 \setminus L \cong \mathbb{A}^2 \qquad \mathbb{A}^2 \setminus C \cong \mathbb{A}^1 \times \mathbb{A}^1_*$

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 $\mathbb{P}^2 \setminus L \cong \mathbb{A}^2 \qquad \mathbb{A}^2 \setminus C \cong \mathbb{A}^1 \times \mathbb{A}^1_*$

• Suppose that S has a cylinder U.

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- Suppose that S has a cylinder U.
- A surface obtained by blowing up S at points outside U contains a cylinder.

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• Every smooth rational surface contains a cylinder.

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- Every smooth rational surface contains a cylinder.
- A *singular* surface may not have any cylinder at all.

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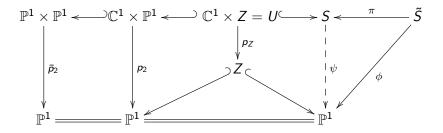
• Let S be a rational surface with quotient singularities.

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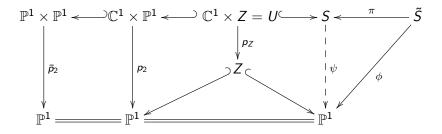
- Let S be a rational surface with quotient singularities.
- Let U be a cylinder in S, i.e., a Zariski open subset in S such that $U = \mathbb{A}^1 \times Z$ for some affine curve Z.

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• p_Z , p_2 and \bar{p}_2 are the natural projections to the second factors,

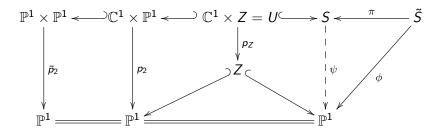


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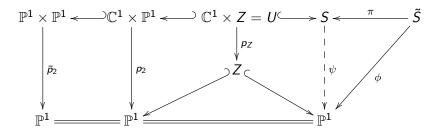
• ψ is the rational map induced by p_Z ,



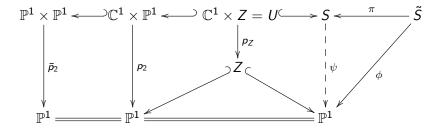
- p_Z , p_2 and \bar{p}_2 are the natural projections to the second factors,
- ψ is the rational map induced by p_Z ,
- π is a birational morphism resolving the indeterminacy of ψ and the singularities of *S*,

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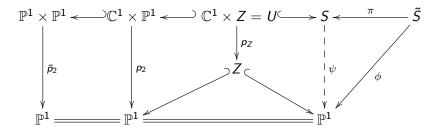
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- ψ is the rational map induced by p_Z ,
- π is a birational morphism resolving the indeterminacy of ψ and the singularities of S,
- ϕ is a morphism.



• a general fiber of ϕ is \mathbb{P}^1 .

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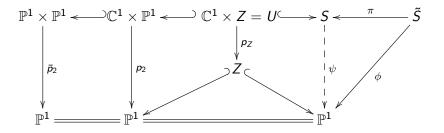


• Let C_1, \ldots, C_n be irreducible curves in S such that

$$S \setminus U = \bigcup_{i=1}^n C_i.$$

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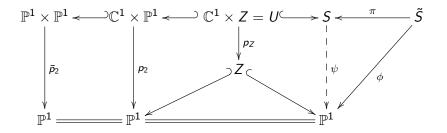


• Let C_1, \ldots, C_n be irreducible curves in S such that

$$S \setminus U = \bigcup_{i=1}^{n} C_i$$

• The curves C_1, \dots, C_n generate the divisor class group $\operatorname{Cl}(S)$ of the surface S because $\operatorname{Cl}(U) = 0$. In particular, one has

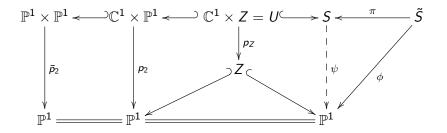
 $n \ge \operatorname{rank} \operatorname{Cl}(S).$



• Let E_1, \ldots, E_r be the π -exceptional curves, and let Γ be the section of \overline{p}_2 , which is the complement of $\mathbb{C}^1 \times \mathbb{P}^1$ in $\mathbb{P}^1 \times \mathbb{P}^1$.

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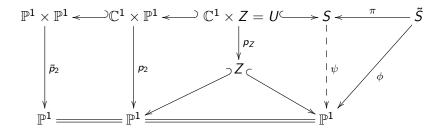
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- Denote by $\tilde{C}_1, \ldots, \tilde{C}_n$ and $\tilde{\Gamma}$ the proper transforms of the curves C_1, \ldots, C_n and Γ on the surface \tilde{S} , respectively.

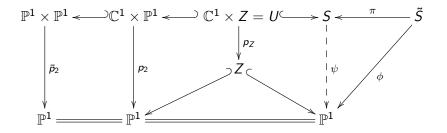
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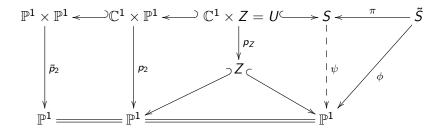


• Then $\tilde{\Gamma}$ is a section of ϕ .

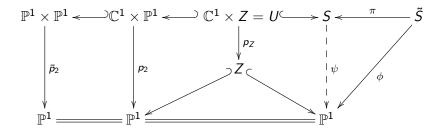
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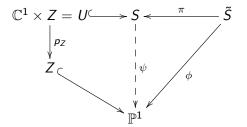
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- The curve $\tilde{\Gamma}$ is one of the curves $\tilde{C}_1, \ldots, \tilde{C}_n$ and E_1, \ldots, E_r .



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- The curve $\tilde{\Gamma}$ is one of the curves $\tilde{C}_1, \ldots, \tilde{C}_n$ and E_1, \ldots, E_r .
- All the other curves among *C*₁,..., *C*_n and *E*₁,..., *E*_r are irreducible components of some fibers of φ.



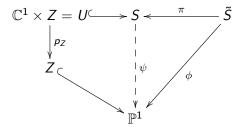
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- The curve $\tilde{\Gamma}$ is one of the curves $\tilde{C}_1, \ldots, \tilde{C}_n$ and E_1, \ldots, E_r .
- All the other curves among *C*₁,..., *C*_n and *E*₁,..., *E*_r are irreducible components of some fibers of φ.
- We may assume either $\tilde{\Gamma} = \tilde{C}_1$ or $\tilde{\Gamma} = E_r$.



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Let \tilde{F} be a general fiber of ϕ .

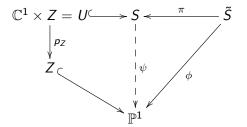


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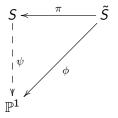
Then $K_{\tilde{S}} \cdot \tilde{F} = -2$ by the adjunction formula.



Let \tilde{F} be a general fiber of ϕ .

Then $K_{\tilde{S}} \cdot \tilde{F} = -2$ by the adjunction formula.

Put $F = \pi(\tilde{F})$.



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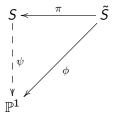
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Choose arbitrary non-negative rational numbers $\lambda_1, \ldots, \lambda_n$.



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$$K_{\tilde{S}} + \sum_{i=1}^{n} \lambda_i \tilde{C}_i \qquad \qquad = \pi^* \Big(K_{S} + \sum_{i=1}^{n} \lambda_i C_i \Big)$$

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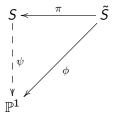
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WHAT IF S HAS A CYLINDER?



Choose arbitrary non-negative rational numbers $\lambda_1, \ldots, \lambda_n$.

$$K_{\tilde{S}} + \sum_{i=1}^{n} \lambda_i \tilde{C}_i + \sum_{i=1}^{r} \mu_i E_i = \pi^* \Big(K_S + \sum_{i=1}^{n} \lambda_i C_i \Big)$$

for some rational numbers μ_1, \ldots, μ_r .

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If $\tilde{\Gamma} = E_r$, then

$$-2 + \mu_r = \left(\mathcal{K}_{\tilde{S}} + \sum_{i=1}^n \lambda_i \tilde{C}_i + \sum_{i=1}^r \mu_i E_i \right) \cdot \tilde{F}$$
$$= \pi^* \left(\mathcal{K}_S + \sum_{i=1}^n \lambda_i C_i \right) \cdot \tilde{F} = \left(\mathcal{K}_S + \sum_{i=1}^n \lambda_i C_i \right) \cdot F$$

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If $\tilde{\Gamma} = C_1$, then

$$-2 + \lambda_1 = \left(\mathcal{K}_{\tilde{S}} + \sum_{i=1}^n \lambda_i \tilde{C}_i + \sum_{i=1}^r \mu_i E_i \right) \cdot \tilde{F}$$
$$= \pi^* \left(\mathcal{K}_S + \sum_{i=1}^n \lambda_i C_i \right) \cdot \tilde{F} = \left(\mathcal{K}_S + \sum_{i=1}^n \lambda_i C_i \right) \cdot F.$$

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If $K_S + \sum_{i=1}^n \lambda_i C_i$ is pseudo-effective, then

$$\left(K_{S}+\sum_{i=1}^{n}\lambda_{i}C_{i}\right)\cdot F \geq 0,$$

because \tilde{F} is a general fiber of ϕ .

Therefore,

•
$$\mu_r \geq 2$$

•
$$\lambda_n \geq 2$$

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If K_S + ∑ⁿ_{i=1} λ_iC_i is pseudo-effective, the log pair (S, ∑ⁿ_{i=1} λ_iC_i) is not log canonical.

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COROLLARY

If a rational surface with pseudo-effective canonical class has only quotient singularities then it cannot contain any cylinders.

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At this stage, many famous rational surfaces enter!

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RATIONAL SURFACE W/O CYLINDER: Kollár

Let S be the hypersurface in $\mathbb{P}(w_1, w_2, w_3, w_4)$ defined by the quasi-homogeneous equation of degree d

$$x_1^{a_1}x_2 + x_2^{a_2}x_3 + x_3^{a_3}x_4 + x_4^{a_4}x_1 = 0.$$

If gcd(w₁, w₂, w₃, w₄) = 1, then S is a rational surface with 4 cyclic quotient singularities.

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• If a_1 , a_2 , a_3 , $a_4 \ge 4$, then K_S is ample.

RATIONAL SURFACE W/O CYLINDER: D. HWANG, KEUM

Hwang and Keum have constructed another types of singular rational surfaces of Picard number one with ample canonical divisors.

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Let E be the Fermat cubic curve:

$$x^3 + y^3 + z^3 = 0 \subset \mathbb{P}^2.$$

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Let E be the Fermat cubic curve:

$$x^3 + y^3 + z^3 = 0 \subset \mathbb{P}^2.$$

• Its *j*-invariant is 0 and it is isomorphic to

$$E = \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$$

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where $\tau = e^{\frac{2}{3}\pi}$.

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• It is the unique elliptic curve admitting an automorphism τ of order 3 such that $\tau^*(\omega) = \tau \omega$, where ω is a non-zero regular 1-form on E.

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where $\tau = e^{\frac{2}{3}\pi}$.

- It is the unique elliptic curve admitting an automorphism τ of order 3 such that $\tau^*(\omega) = \tau \omega$, where ω is a non-zero regular 1-form on E.
- The automorphism σ on E has exactly three fixed points P_1 , P_2 , P_3 , respectively, the points corresponding to 0, $\frac{2}{3} + \frac{1}{3}\tau$ and $\frac{1}{3} + \frac{2}{3}\tau$.

RATIONAL SURFACE W/O CYLINDER: CF. CAMPANA, OGUISO, TRUONG, UENO

Let S be the quotient surface

$$E \times E/\langle \operatorname{diag}(-\tau, -\tau) \rangle.$$

- $6K_S$ is linearly trivial.
- Since there is no non-zero regular 1-form on $E \times E$ invariant by $\operatorname{diag}(-\tau, -\tau)$, we obtain $h^1(S, \mathcal{O}_S) = 0$.

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• The surface S is a rational log Enriques surface.

RATIONAL SURFACE W/O CYLINDER: REID, OGUISO, ZHANG

Let \bar{S}' be the quotient surface

$$E \times E / \langle \operatorname{diag}(\tau, \tau^2) \rangle.$$

- The action $\operatorname{diag}(\tau, \tau^2)$ on $E \times E$ has 9 fixed points.
- These 9 fixed points become du Val singular points of type A_2 on \bar{S}' .

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RATIONAL SURFACE W/O CYLINDER: REID, OGUISO, ZHANG

Let S' be the minimal resolution of the quotient surface

$$E \times E / \langle \operatorname{diag}(\tau, \tau^2) \rangle.$$

- It is a K3 surface with 24 smooth rational curves.
- Six of them come from the six fixed curves, $\{P_i\} \times E$, $E \times \{P_i\}$ on $E \times E$. The others come from the 9 singular points of type A₂.
- Let g be the automorphism of S' induced by the action diag(τ, 1) on E × E. Our 24 smooth rational curves on S' are g-invariant. Among these 24 curves we can find rational tree of type D₁₉.

RATIONAL SURFACE W/O CYLINDER: REID, OGUISO, ZHANG

Let S' be the minimal resolution of the quotient surface

 $E \times E / \langle \operatorname{diag}(\tau, \tau^2) \rangle.$

- $\bullet~ {\rm Let}~ {\cal S}' \to \hat{\cal S}$ be the contraction of this tree.
- Then g acts on \hat{S} and it fixes two points.
- The quotient surface $\hat{S}/\langle g
 angle$ is a rational log Enriques surface.

RATIONAL SURFACE W/O CYLINDER: OGUISO, ZHANG, WANG

Rational log Enriques surfaces of ranks 19 and 18 are completely classified by Oguiso, Zhang, Wang .

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Some Zoology

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Some Zoology

• Elephant

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- Elephant
- Tiger

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- Elephant
- Tiger
- Cat (Tom)

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- Elephant
- Tiger
- Cat (Tom)
- Mouse (Jerry)

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DEFINITION

Let X be a projective normal variety with at most quotient singularities. A tiger on X is an effective \mathbb{Q} -divisor D such that

- $D \equiv -K_X$;
- (X, D) is not log canonical.

Miyanishi conjectured:

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A log del Pezzo surface S of Picard rank 1 has a finite unramified covering of $S \setminus SingS$ which contains a cylinder.

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A log del Pezzo surface S of Picard rank 1 has a finite unramified covering of $S \setminus \text{Sing}S$ which contains a cylinder.

Keel and Mckernan have answered negatively by constructing log del Pezzo surfaces of Picard rank 1 such that

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• they have no tigers;

Miyanishi conjectured:

A log del Pezzo surface S of Picard rank 1 has a finite unramified covering of $S \setminus \text{Sing}S$ which contains a cylinder.

Keel and Mckernan have answered negatively by constructing log del Pezzo surfaces of Picard rank 1 such that

- they have no tigers;
- their smooth loci have trivial algebraic fundamental groups π_1^{alg} .

RATIONAL SURFACE W/O CYLINDER: CHELTSOV, P-, WON

Let S be a Gorenstein log del Pezzo surface of degree 1 with one of the following types of singularities

$$2D_4$$
, $2A_3 + 2A_1$, $4A_2$.

• In general, if there is a cylinder, then we can construct a tiger *D* that does not contain the supports of any effective anticanonical divisors.

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$$2D_4, 2A_3 + 2A_1, 4A_2.$$

- In general, if there is a cylinder, then we can construct a tiger *D* that does not contain the supports of any effective anticanonical divisors.
- Let D be a tiger on S, i.e., an effective Q-divisor in the anticanonical class of Pic(S) ⊗ Q such that (S, D) is not log canonical at some point P. Then there is an effective divisor C in | K_S| such that (S, C) is not log canonical at P and Supp(C) ⊂ Supp(D).

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RATIONAL SURFACE W/O CYLINDER: CHELTSOV, P-, WON

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• The smooth loci of these surfaces are not simply connected (Miyanishi, Zhang).

SQ Q

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$$2D_4$$
, $2A_3 + 2A_1$, $4A_2$.

- The smooth loci of these surfaces are not simply connected (Miyanishi, Zhang).
- These are the only Gorenstein log del Pezzo surfaces without any cylinders.

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 There are infinite series of Gorenstein log del Pezzo surfaces of singularity type 2D₄.

POLAR CYLINDER

• Suppose that S has a cylinder U such that

$$S\setminus U=\bigcup_{i=1}^n C_i.$$

• Choose arbitrary non-negative rational numbers $\lambda_1, \ldots, \lambda_n$.

$$K_{\tilde{S}} + \sum_{i=1}^{n} \lambda_i \tilde{C}_i + \sum_{i=1}^{r} \mu_i E_i = \pi^* \left(K_S + \sum_{i=1}^{n} \lambda_i C_i \right)$$

for some rational numbers μ_1, \ldots, μ_r .

• If $K_S + \sum_{i=1}^n \lambda_i C_i$ is pseudo-effective, the log pair $(S, \sum_{i=1}^n \lambda_i C_i)$ is not log canonical.

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DEFINITION

Let H be a \mathbb{R} -divisor on S. An H-polar cylinder in S is an Zariski open subset U of S such that

(C) $U = \mathbb{A}^1 \times Z$ for some affine curve Z, i.e., U is a cylinder in S,

(P) there is an effective \mathbb{R} -divisor D on S with $D \equiv H$ and $U = S \setminus \text{Supp}(D)$.

$$H\equiv\sum_{i=1}^n\lambda_iC_i$$

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for some *positive* real numbers $\lambda_1, \ldots, \lambda_n$.

Let Amp(S) be the ample cone of S. Denote by $Amp^c(S)$ the set $\{H \in Amp(S) : \text{ there is an } H\text{-polar cylinder on } S\}$.

- The set $\operatorname{Amp}^{c}(S)$ can be empty.
- $\operatorname{Amp}^{c}(S) \neq \emptyset$ if S is smooth.

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- Let S be a Gorenstein del Pezzo surface.
- Suppose that S has a $(-K_S)$ -polar cylinder, i.e., there is an effective \mathbb{Q} -divisor D on S with $D \sim_{\mathbb{Q}} -K_S$ and $S \setminus \text{Supp}(D)$ is a cylinder.
- The divisor D is a tiger on S.
- There is a tiger D' such that
 - (S, D') is not log canonical at a point P;
 - there is a divisor T ∈ | − K_S| such that (S, T) is not log canonical at P and Supp(T) ⊄ Supp(D').

THEOREM (KPZ; CPW)

Let S_d be a Gorenstein del Pezzo surface of degree $d \leq 3$ satisfying the following singularity condition:

- If d = 3, S_d is smooth;
- If d = 2, S_d allows only ordinary double points;

• If d = 1, S_d allows types A_1 , A_2 , A_2 , or D_4 .

Let D be a tiger on S_d such that the log pair (S_d, D) is not log canonical at a point P. Then there exists a divisor T in the anticanonical linear system $|-K_{S_d}|$ such that

• the log pair (S_d, T) is not log canonical at the point P;

• $\operatorname{Supp}(T) \subset \operatorname{Supp}(D)$.

THEOREM

- $-K_{S_d} \in \operatorname{Amp}^{c}(S_d)$ if and only if one of the following conditions holds: • $d \ge 4$,
 - d = 3 and S_d is singular,
 - d = 2 and S_d has a singular point that is not a singular point of type \mathbb{A}_1 ,
 - d = 1 and S_d has a singular point that is not a singular point of types A_1 , A_2 , A_3 , or D_4 .

THEOREM

Let S_d be a smooth del Pezzo surface of degree d.

- For $4 \leq d \leq 9$, one has $\operatorname{Amp}^{c}(S_d) = \operatorname{Amp}(S_d)$.
- For d = 3, the set $Amp^{c}(S_3)$ is the cone $Amp(S_3)$ without the ray generated by $-K_{S_3}$.

Some partial results on $\operatorname{Amp}^{c}(S)$ in the case when S is a smooth del Pezzo surface of degree ≤ 2 .

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Sir. Peter Swinnerton-Dyer

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"If your research adviser gives you a problem involving del Pezzo surfaces of degree 2 and 1, it means he really hates you." Sir. Peter Swinnerton-Dyer

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