

# Lecture 3.

## REDUCED ARAKELOV DIVISORS

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Universiteit Leiden



Farnesina

Ministero degli Affari Esteri  
e della Cooperazione Internazionale

# Review

We have studied:

- Arakelov divisors  $(I, u)$ .
- The degree.
- Ideal lattices:  $(I, u) \leftrightarrow (I, q_D)$ .
- The Arakelov class group  $Pic_F^0 = Div_F^0 / Princ_F$ .
- $\Lambda = L(O_F^\times)$  and  $T^0 = H/\Lambda \dots$
- $0 \longrightarrow T^0 \xrightarrow{\phi_1} Pic_F^0 \xrightarrow{\phi_2} Cl_F \longrightarrow 0$  is exact.
- $Pic_F^0 \xrightarrow{1:1} \{\text{Isometry classes of ideal lattices of covol. } \sqrt{|\Delta_F|}\}$ .

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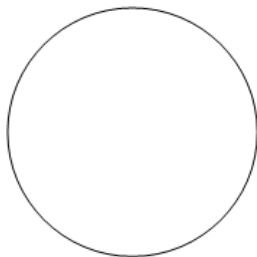


Figure:  $Pic_F^0$  of a real quadratic field,  $h_F = ?$

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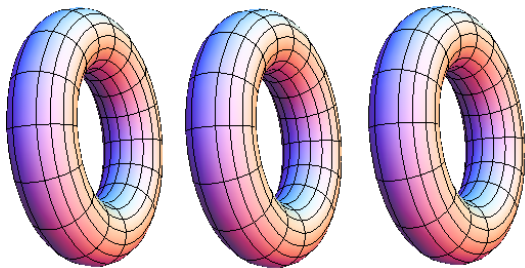


Figure:  $Pic_F^0$  of a totally real cubic field,  $h_F = ?$

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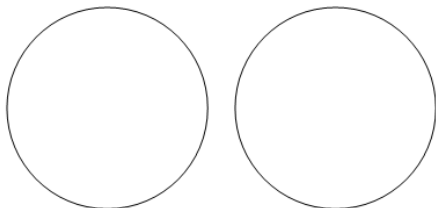


Figure:  $Pic_F^0$  of a real quadratic field  $F = \mathbb{Q}(\sqrt{10})$

$$\text{vol}(Pic_F^0) = 2\sqrt{2} \log(3 + \sqrt{10}),$$

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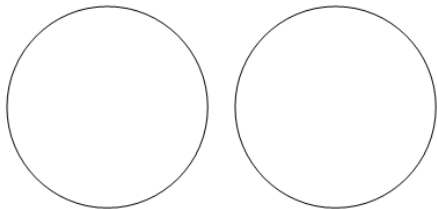


Figure:  $\text{Pic}_F^0$  of a real quadratic field  $F = \mathbb{Q}(\sqrt{10})$

$$\begin{aligned} \text{vol}(\text{Pic}_F^0) &= 2\sqrt{2} \log(3 + \sqrt{10}), & R_F &=? \\ \text{vol}(T^0) &= \sqrt{n} 2^{-r_2/2} R_F \text{ and } \text{vol}(\text{Pic}_F^0) = h_F \text{vol}(T^0). \end{aligned}$$

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- $Pic_F^0$  tells us: the regulator  $R_F$  and the class number  $h_F$ .

Today: Metric on  $Pic_F^0$  and Reduced Arakelov divisors.



# Content

- ① Metric on the Arakelov class group  $Pic_F^0$
- ② Reduced Arakelov divisors
- ③ Properties of reduced Arakelov divisors

## Metric on $\text{Pic}_F^0$

Let  $F$  be a number field of degree  $n$  and  $\Lambda = L(O_F^\times)$ . Let  $u = (u_\sigma) \in \prod_\sigma \mathbb{R}_{>0}$ . Denote by

$$L(u) := (\log(u_\sigma))_\sigma \in \prod_\sigma \mathbb{R} \subset F_{\mathbb{R}}.$$

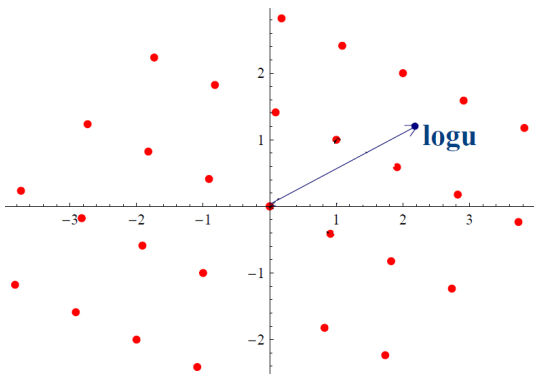
$$\|u\|_{\text{Pic}} = \min_{\Lambda} \|L(u)\|.$$

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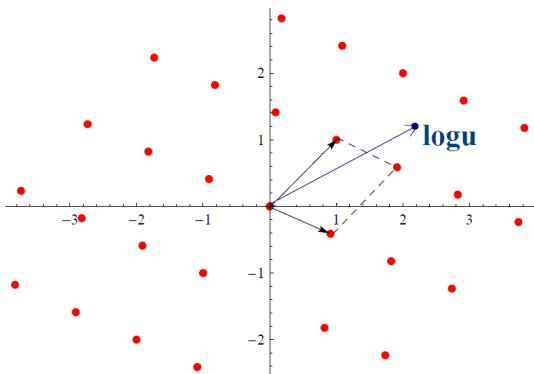


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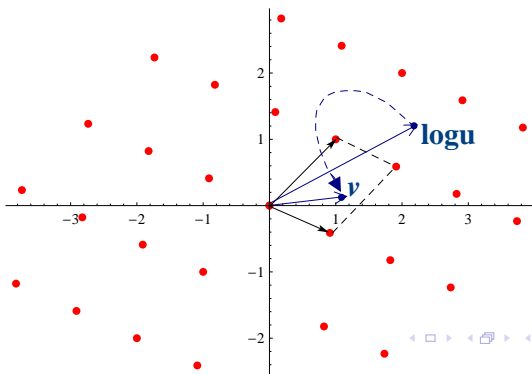


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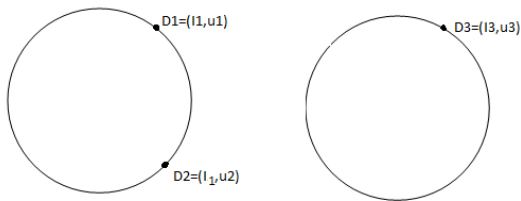


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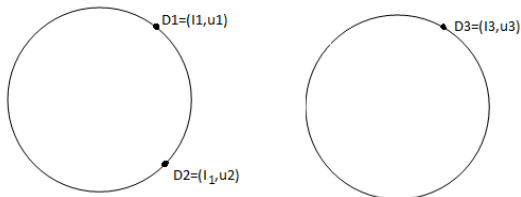
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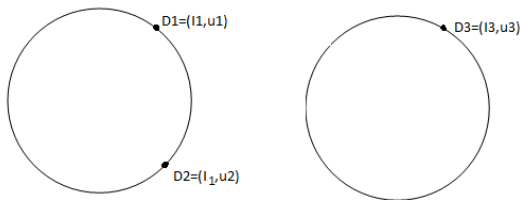
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$$\|D - D'\|_{Pic} := \|u\|_{Pic}.$$

The function  $\| \cdot \|_{Pic}$  induces the natural topology of  $Pic_F^0$ .

## Metric on $\text{Pic}_F^0$

Ex:  $F = \mathbb{Q}(\sqrt{15})$  and  $f = \frac{7+\sqrt{\Delta}}{2} \in F^*$

and  $I = 1/4(6, \sqrt{15})$  a fractional ideal of  $F$ ,  $u = (10, 1/10)$ .

Let  $D_1 = (O_F, 1)$ ,  $D_2 = (f)$ ,  $D_3 = (O_F, u)$  and  $D_4 = d(I)$ .

- $\|D_2 - D_1\|_{\text{Pic}} = ?$
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- R. Schoof [2008]: The first description of infrastructure in terms of **reduced Arakelov divisors** and **Arakelov class groups**.



# Reduced Arakelov divisors of real quadratic fields

- Let a real quadratic form  $f(X, Y) = aX^2 + bXY + cY^2$  where  
 $a, b, c \in \mathbb{Z}$  and  $\gcd(a, b, c) = 1$ .  
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Ex:  $f(X, Y) = X^2 + 7XY - 6Y^2$  is reduced ( $\Delta = 73$ ).

## Reduced quadratic forms

$f(X, Y) = X^2 + 7XY - 6Y^2$  is **reduced** where  
 $a = 1, b = 7$  and  $c = -6, \Delta = 73$  st:

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???

- How many reduced quadratic forms of discriminant  $\Delta = 73$ ?
- Can find all of them?

## Reduced quadratic forms

(★)

$$\Delta = b^2 - 4ac > 0 \text{ and } \gcd(a, b, c) = 1$$

(★★)

$$|\sqrt{\Delta} - 2a| < b < \sqrt{\Delta}.$$

The **reduction algorithm** can find all reduced quadratic forms of given discriminant.

	a	b	c	distance
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$a > 0$

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- Let  $F = \mathbb{Q}(\sqrt{\Delta})$  with  $\Delta = 73 > 0$ . Then

$$O_F = \mathbb{Z} \left[ \frac{1 + \sqrt{73}}{2} \right] = 1 \cdot \mathbb{Z} \oplus \frac{b + \sqrt{\Delta}}{2a} \cdot \mathbb{Z}.$$

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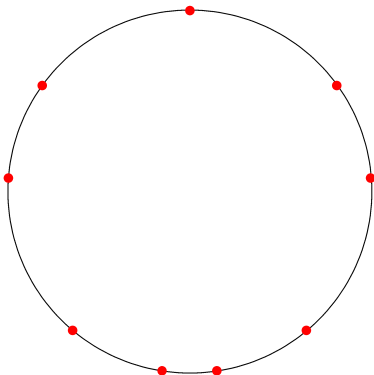
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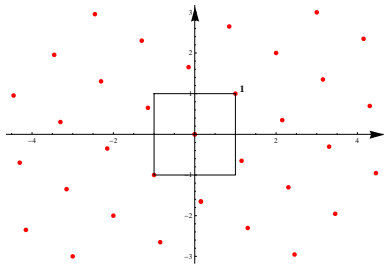
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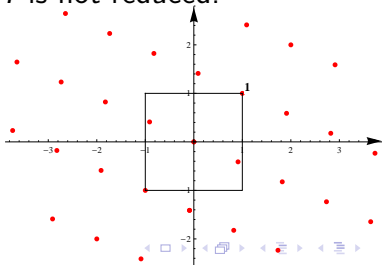
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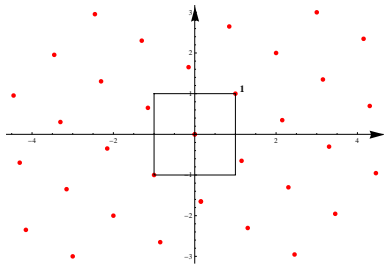
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## Definition

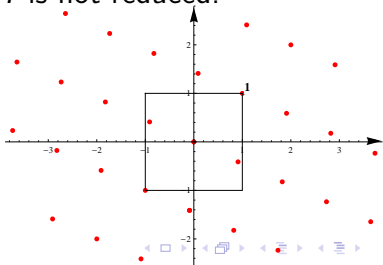
A fractional idea  $I$  is called **reduced** if 1 is minimal in  $I$ .

(i.e.,  $1 \in I$  and for any  $g \in I$ , if  $|\sigma(g)| < 1, \forall \sigma$  then  $g = 0$ .)

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$I$  is not reduced.



# Reduced Arakelov divisors

How to generalize the reducedness?

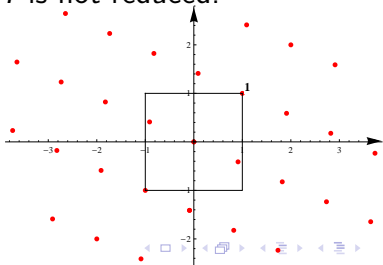
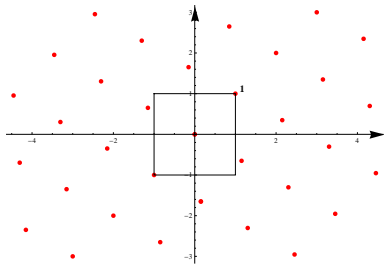
## Definition

A fractional ideal  $I$  is called **reduced** if 1 is minimal in  $I$ .  
(i.e.,  $1 \in I$  and for any  $g \in I$ , if  $|\sigma(g)| < 1, \forall \sigma$  then  $g = 0$ .)

## Definition

An Arakelov divisor  $D$  is called reduced if  
 $D = d(I) := (I, N(I)^{-\frac{1}{n}})$  for some reduced ideal  $I$ .  
 $I$  is reduced.

$I$  is not reduced.





# Examples of reduced Arakelov divisors

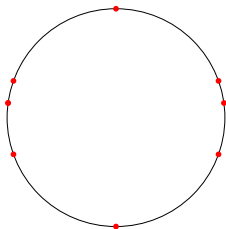
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- ①  $D = (O_F, 1)$  is reduced.
- ② Let  $F = \mathbb{Q}(\sqrt{\Delta})$  with  $\Delta > 0$  and  $I = \mathbb{Z} + \frac{b+\sqrt{\Delta}}{2a}\mathbb{Z}$  with  $a, b, c \in \mathbb{Z}$ ,  $b^2 - 4ac = \Delta$  and  $|\sqrt{\Delta} - 2a| < b < \sqrt{\Delta}$ . Then  $d(I)$  is reduced.

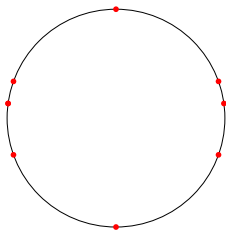
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- 3 Reduced Arakelov divisors on  $T^0$  with  $F = \mathbb{Q}(\sqrt{983})$ .



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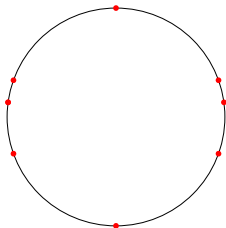
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Ex: Find all reduced Arakelov divisors of  $\mathbb{Q}(\sqrt{10})$ .

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### Proposition 1. (cardinality of $Red_F$ )

Let  $D = d(I)$  be a reduced Arakelov divisor. Then

- (i)  $I^{-1} \subset O_F$  and  $N(I^{-1}) \leq \partial_F$  where  $\partial_F = (\frac{2}{\pi})^{r_2} \sqrt{|\Delta|}$ .
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The number of reduced Arakelov divisors contained in a ball of radius 1 in  $Pic_F^0$  is at most  $\left(\frac{2}{\log 2}\right)^n \approx 2.8854^n$ .

## $Red_F$ (Schoof 2008)

$$\partial_F = \left(\frac{2}{\pi}\right)^{r_2} \sqrt{|\Delta|}.$$

### Lemma

Let  $D = (I, u)$  be of deg 0. Then there exists  $0 \neq f \in I$  st

$$|u_\sigma \sigma(f)| < \partial_F^{1/n} \text{ for every } \sigma$$

$$(\Rightarrow \|f\|_D \leq \sqrt{n} \partial_F^{1/n}).$$

**Proof.** Use the Minkowski's Convex Body Theorem with the bounded symmetric convex set

$$V = \{(y_\sigma)_\sigma \in F_{\mathbb{R}} : |y_\sigma| \leq \partial_F^{1/n} \text{ for all } \sigma\}.$$

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### Proof.

- i)  $I \subset O_F$  since  $1 \in I$ , we have  $I^{-1} \subset O_F$ .

By the lemma, there is a nonzero element  $f \in I$  such that

$$N(I)^{-1/n} |\sigma(f)| \leq \partial_F^{1/n} \text{ for all } \sigma.$$

If  $N(I)^{-1} > \partial_F$  then we have  $|\sigma(f)| < 1$  for all  $\sigma$ , contradicting the minimality of 1. This proves part (i).

- ii) It follows (i) because the number integral ideals of bounded norm is finite.

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### Proof.

- $\deg(D) = 0$ ,  $\exists$  minimal element  $f \in I$  (lemma) st

$$u_\sigma |\sigma(f)| < \partial_F^{1/n} \text{ for all } \sigma.$$

- Let  $J = f^{-1}I$ . Then  $D' = d(J)$  is reduced.
- $D'$  is on the same connected component of  $Pic_F^0$  as  $D$  bc  $D - D' = (f) + (O_F, v)$  with  $v = u|f|N(J)^{1/n}$ .
- $\|D - D'\|_{Pic_F} = \|v\|_{Pic} \leq \log(\partial_F)$  since  $v_\sigma = u_\sigma |\sigma(f)| N(J)^{1/n}$  for all  $\sigma$  and  $\sum_\sigma \log v_\sigma = 0$ .

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$B_{red}^1$  = the reduced Arakelov divisors contained in a ball of radius 1 in  $Pic_F^0$ .

- $n = 1, \#B_{red}^1 \leq 2.$
- $n = 2, \#B_{red}^1 \leq 8.$
- $n = 3, \#B_{red}^1 \leq 24.$
- $n = 4, \#B_{red}^1 \leq 69.$
- ...

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- There exists  $D = d(I)$  and  $D' = d(I')$  reduced divisors in the ball with

$$D - D' + (f) = (O_F, \nu)$$

for some  $f \in F^*$  such that  $\sigma(f) > 0$  for all real  $\sigma$ .

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There are at most  $2^n$  reduced divisors in the ball of radius  $\log 2$  in  $Pic_F^0$ .

Bc if not, then fix one of them:  $D_0$  and consider  $D - D_0$  where  $D$  runs through the other  $D$ . They are all equal to  $(f) + (O_F, u)$  for some  $u \in \prod_{\sigma} \mathbb{R}_{>0}$ .

By the box principle, for two distinct divisors, let's say  $D, D'$ , the signatures of  $g$  and  $g'$  are equal.

Then  $D - D' = (f) + (O_F, u)$  for some  $u \in \prod_{\sigma} \mathbb{R}_{>0}$ , and  $f = g/g'$  totally positive.

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- $I = fI'$ .
- Let  $\lambda = N(I/I')^{\frac{1}{n}} = |N(f)|^{\frac{1}{n}}$ . Then  $\lambda \geq \frac{1}{2}$ .

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- $I = fI'$ .
- Let  $\lambda = N(I/I')^{\frac{1}{n}} = |N(f)|^{\frac{1}{n}}$ . Then  $\lambda \geq \frac{1}{2}$ .
- Assume that  $\lambda \leq 1$ .  $\Rightarrow f - 1 \in I$  satisfies that

$$|\sigma(f) - 1| \leq |\sigma(f) - \lambda| + |\lambda - 1| < \lambda + 1 - \lambda = 1 \text{ for all } \sigma.$$

By the minimality of 1, we must have  $f - 1 = 0$ , so  $I = I'$  and then  $D = D'$ .

# How to find a reduced divisor?

The reduction algorithm.



## Recap

- Metric on  $Pic_F^0$ . Let  $D, D' \in Pic_F^0$  st  $D - D' = (O_F, u)$ . Then

$$\|D' - D\|_{Pic} = \|u\|_{Pic} = \min_{\Lambda} \|L(u)\|.$$

- A fractional ideal  $I$  is reduced if  $1 \in I$  is minimal.
- $Red_F$  is finite.
- There is at least one reduced Arakelov divisor in the ball of radius  $\log(\partial_F)$  in  $Pic_F^0$ .
- The number of reduced Arakelov divisors contained in a ball of radius 1 in  $Pic_F^0$  is at most  $2.8854^n$ .

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