

Prop.

(a) Let  $Y$  be an Enriques surface and let  $\pi: X \rightarrow Y$  be the double cover corresponding to the 2-torsion sheaf  $\omega_Y$ . Then,  $X$  is a K3 surface, it is the universal cover, and  $\pi_1(Y) \cong \mathbb{Z}_2$ .

(b) Conversely, the quotient  $X/\iota = Y$  of a K3 surface  $X$  by a fixed-point-free involution  $\iota$  is an Enriques surface.

Proof.

(a) We need to show that  $K_X \sim 0$  and  $g(X) = 0$ .

$$\omega_X \cong \pi^* \omega_Y \cong \mathcal{O}_X \Rightarrow K_X \sim 0.$$

$\uparrow$   
canonical sheaf for an étale cover.

$\uparrow$   
 $\pi$  is the étale cover associated to  $\omega_Y$ .

$$\chi_{\text{top}}(X) = 2 \chi_{\text{top}}(Y) = 24$$

$$\Rightarrow \chi(\mathcal{O}_X) \stackrel{\text{Noether's formula}}{=} \frac{\chi_{\text{top}}(X) + K_X^2}{12} = \frac{24 + 0}{12} = 2$$

$$\chi(\mathcal{O}_X) = 1 - g + p_g$$

$\Rightarrow g(X) = 0$ . So,  $X$  is a K3 surface.

(b) We need to show that  $2K_Y \sim 0$  and  $P_g(Y) = g(Y) = 0$ .

Let  $\pi: X \rightarrow Y$  be the quotient map.

$\pi^* \omega_Y = \omega_X = \mathcal{O}_X \Rightarrow \pi$  is the étale cover associated to  $\omega_Y$ , and since  $\pi$  has degree 2, we have that  $\omega_Y^{\otimes 2} \cong \mathcal{O}_Y$ , hence  $2K_Y \sim 0$ .

*canonical sheaf for an étale cover*  
*X is K3*

$$\chi_{\text{top}}(Y) = \frac{1}{2} \chi_{\text{top}}(X) = 12 \Rightarrow \chi(\mathcal{O}_Y) = 1 \Rightarrow P_g(Y) = g(Y).$$

To prove that  $g(Y) = 0$ , we use the theory of Albanese varieties (see Beauville, Chapter V)

$$\begin{array}{ccc} X & \xrightarrow{\pi} & Y \\ \downarrow & \cong & \downarrow \\ \text{Alb}(X) & \xrightarrow{\text{Alb}(\pi)} & \text{Alb}(Y) \end{array}$$

*Functoriality.*

*Rmk V.14(2)*

$$\dim \text{Alb}(X) = g(X) = 0,$$

$$\dim \text{Alb}(Y) = g(Y), \quad \text{Rmk V.14(3)}$$

$\pi$  surjective  $\Rightarrow \text{Alb}(\pi)$  surjective.

So,  $\text{Alb}(Y) = \text{pt}$ , hence  $g(Y) = 0$ . □

Prop. Let  $Y$  be an Enriques surface. Then:

(a)  $\text{Pic}(Y) \cong \text{NS}(Y) \cong H^2(Y, \mathbb{Z});$

(b)  $\text{Num}(Y) \not\cong \text{Pic}(Y).$

Proof. Consider the long exact sequence in

cohomology associated to the exponential short exact sequence:

$$0 \rightarrow \underline{\mathbb{Z}}_Y \rightarrow \mathcal{O}_Y \rightarrow \mathcal{O}_Y^* \rightarrow 0$$

$$\Rightarrow \dots \rightarrow H^1(Y, \mathcal{O}_Y) \rightarrow H^1(Y, \mathcal{O}_Y^*) \rightarrow H^2(Y, \mathbb{Z}) \rightarrow H^2(Y, \mathcal{O}_Y) \rightarrow \dots$$

$$\Rightarrow 0 \rightarrow \text{Pic}(Y) \xrightarrow{\cong} H^2(Y, \mathbb{Z}) \rightarrow 0,$$

$$c_1 \downarrow \text{NS}(Y) \nearrow$$

from which (a) follows.

(b)  $\text{Num}(Y)$  is torsion-free, while  $\text{Pic}(Y)$  has the  $\mathbb{Z}$ -torsion element  $\omega_S$ .  $\square$

Thm. Let  $Y$  be an Enriques surface. Then the torsion part  $T$  of  $H^2(Y, \mathbb{Z})$  is isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ . The quotient  $H^2(Y, \mathbb{Z})_{\neq} := H^2(Y, \mathbb{Z})/T$  endowed with the cup product is a lattice isometric to  $U \oplus E_8$ , which is called the Enriques lattice.

Proof. By the universal coefficient theorem for cohomology, we have that

$$H^2(Y, \mathbb{Z}) \cong \text{Hom}_{\mathbb{Z}}(H_2(Y, \mathbb{Z}), \mathbb{Z}) \oplus \text{Ext}_{\mathbb{Z}}^1(H_1(Y, \mathbb{Z}), \mathbb{Z})$$

*torsion free.* *torsion may only come from here.*

$$H_1(Y, \mathbb{Z}) \cong \text{Ab}(\pi_1(Y)) \cong \text{Ab}(\mathbb{Z}/2\mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z}$$

$$\Rightarrow \text{Ext}_{\mathbb{Z}}^1(H_1(Y, \mathbb{Z}), \mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z}.$$

$$\text{Since } b_2(Y) = 10 \Rightarrow \text{Hom}_{\mathbb{Z}}(H_2(Y, \mathbb{Z}), \mathbb{Z}) \cong \mathbb{Z}^{10}.$$

Hence  $H^2(Y, \mathbb{Z}) \cong \mathbb{Z}^{10} \oplus (\mathbb{Z}/2\mathbb{Z})$ .

$H^2(Y, \mathbb{Z})_{\neq}$ , endowed with the cup product, is a non-degenerate lattice of rank 10. Let us show it is even. Let  $[C] \in H^2(Y, \mathbb{Z})_{\neq} = H^2(Y, \mathbb{Z})/T$ .

Since  $H^2(Y, \mathbb{Z}) \cong \text{Pic}(Y)$ , then up to changing the representative of  $[C]$  we can assume that  $C$  is algebraic. So we can apply the Riemann-Roch formula and obtain that:

$$\chi(\mathcal{O}_Y(C)) = \underbrace{\chi(\mathcal{O}_Y)}_{=0} + \frac{C^2 - K_Y \cdot C}{2}$$

$\Rightarrow C^2 = 2\chi(\mathcal{O}_Y(C))$ , which is even.

If  $(p, q)$  is the signature of  $H^2(Y, \mathbb{Z})_{\neq}$ , then the Hodge-Riemann bilinear relations give that:

$$p - q = \sum_{\substack{i+j \\ \text{even}}} (-1)^i h^{i,j} = h^{0,0} + h^{2,0} - h^{1,1} + h^{0,2} + h^{2,2} \\ = 1 + 0 - 10 + 0 + 1 = -8.$$

Since  $p + q = 10$ , we argue that  $(p, q) = (1, 9)$ .

Then, Milnor's theorem gives  $H^2(Y, \mathbb{Z}) \cong U \oplus E_8$ .

Remark:  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z})$  can be computed as follows.  $\square$   
Consider the left-exact contravariant functor  $\text{Hom}(-, \mathbb{Z})$ .

Consider the proj. res. given by  $0 \rightarrow \mathbb{Z} \xrightarrow{m_2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$ , where  $m_2$  is multiplication by 2. Then, we remove  $\mathbb{Z}/2\mathbb{Z}$  and apply the functor:

$$0 \rightarrow \text{Hom}(\mathbb{Z}, \mathbb{Z}) \xrightarrow{m_2^*} \text{Hom}(\mathbb{Z}, \mathbb{Z}) \rightarrow 0$$

$$\Rightarrow \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}) \cong \text{Hom}(\mathbb{Z}, \mathbb{Z}) / \text{Im}(m_2^*) \cong \mathbb{Z}/2\mathbb{Z}. \quad \checkmark$$

## § Elliptic fibrations on Enriques surfaces: definition and an example.

Def. On an alg. surf.  $Y$ , an elliptic fibration is a morphism  $f: Y \rightarrow \mathbb{P}^1$  whose general fiber is a smooth elliptic curve. This is equivalent to the data of an elliptic pencil on  $Y$ , that is a base point free linear system  $|D|$  of dim 1 (hence, a pencil) whose generic member is an elliptic curve.

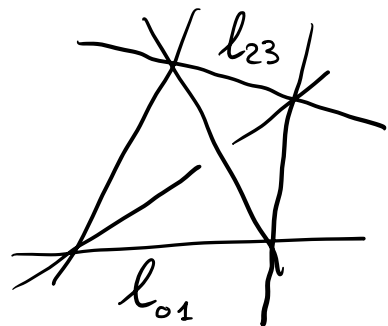
Rmk. By saying elliptic fibration, we do not assume that  $f: Y \rightarrow \mathbb{P}^1$  has a section, i.e., a morphism  $\sigma: \mathbb{P}^1 \rightarrow Y$  s.t.  $f \circ \sigma = \text{id}_{\mathbb{P}^1}$ .

It turns out that an Enriques surface always admits an elliptic pencil (proving it is non-trivial). Moreover, elliptic pencils have a specific geometric structure we will describe. We start by discussing an example.

Ex. Consider the example of Enriques surface  $S$  given by the normaliz.  $\nu: S \rightarrow \bar{S}$  of an Enriques' sextic  $\bar{S} \subseteq \mathbb{P}^3$ .

Consider two skew lines  $l_{01}, l_{02} \subseteq \mathbb{P}^3$  of the coordinate tetrahedron.

Consider the quadrics that pass through the remaining 4 lines:  $l_{02}, l_{03}, l_{12}, l_{13}$ .



$$\sum_{0 \leq i \leq j \leq 3} a_{ij} X_i X_j = 0 \quad \text{general quadric.}$$

Containing  $l_{02} = V(X_0, X_2)$  is equivalent to  $a_{11}, a_{13}, a_{33} = 0$ .

So the quadrics are

$$a_{01} X_0 X_1 + a_{23} X_2 X_3 = 0,$$

which form a pencil. Let  $Q_{[\lambda:\mu]} := V(\lambda X_0 X_1 + \mu X_2 X_3)$

The scheme theoretic intersection  $\bar{S} \cap Q_{[\lambda:\mu]}$  can be described as follows:

$$\bar{S} \cap Q_{[\lambda:\mu]} = 2l_{02} + 2l_{12} + 2l_{03} + 2l_{13} + \bar{C}_{[\lambda:\mu]},$$

← elliptic curve

$$\bar{C}_{[\lambda:\mu]} = \begin{cases} \text{smooth intersection of} & \text{if } \lambda, \mu \neq 0 \\ \text{two quadrics} & \\ 4 l_{23} & \text{if } \lambda = 0 \\ 4 l_{01} & \text{if } \mu = 0. \end{cases}$$